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FRANK C. NICHOLAS (33,983)

Name of applicant, assignee or Registered Representative

*Frank C. Nicholas*

Signature

January 22, 2002

Date of Signature



6/14/02  
PH  
#6

PATENT  
Case No. 1250/4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
MICHAEL A. GREENBERG	)	
CHRISTIAN A. S. PENZA	)	
PATRICK ZAOUTER	)	Group Art Unit: 2164
	)	
Serial No.: 09/922,240	)	
	)	
Filed: AUGUST 3, 2001	)	Examiner:
	)	
For: METHOD AND SYSTEM FOR	)	
EXCHANGING COMMODITIES ONLINE	)	

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicants would like to call to the Examiner's attention to the references listed on the enclosed sheet of Form PTO-1449. Copies of these references are also enclosed.

The document enclosed was cited in a communication from the PCT mailed **December 17, 2001** in an International Search Report.

Applicants believe that the listed references do not disclose or suggest Applicants' claimed invention.

Respectfully submitted,

Dated: JANUARY 22, 2001

*Frank C. Nicholas*  
FRANK C. NICHOLAS  
Registration No. (33,983)  
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FORM PTO-1449	SERIAL NO. 09/922,240	CASE NO. 1250/4
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	FILING DATE AUGUST 3, 2001	GROUP ART UNIT 2164
(use several sheets if necessary)		APPLICANT(S): MICHAEL A. GREENBERG ET AL.

RECEIVED  
FEB 05 2002  
Technology Center 2100

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,219,653 B1	04/17/01	O'NEILL ET AL.		
	A2	6,064,981	05/16/00	BARNI ET AL.		
	A3	6,058,379	05/02/00	ODOM ET AL.		
	A4	6,035,289	03/07/00	CHOU ET AL.		
	A5	5,732,400	03/24/98	MANDLER ET AL.		
	A6	5,724,524	03/03/98	HUNT ET AL.		
	A7	5,715,402	02/03/98	POPOLO		
	A8	5,136,501	08/04/92	SILVERMAN ET AL.		

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A9	"TradingProduce.com Revolutionizes Produce Trading", Business Wire, January 10, 2000, extracted on the Internet from Database, "Corporate ResourceNet", on September 27, 2001.
	A10	"CoreMarkets Selects ebusiness Technologies' Dynabase to Power Digital Marketplace of Industrial Materials Dynabase Will Support International <i>Commodities</i> Exchange of Ferro Alloys and Bulk Ores", PR Newswire, July 17, 2000, extracted on the Internet from Database, "Corporate ResourceNet", on September 27, 2001.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.